

29 January 2025


By email: 

Dear 

Your request for information under the Official Information Act 1982 (OIA) (CAS-145687)

I refer to your official information request dated 15 January 2025 for the following information:

- 1. Has FMA, or any other Agency, completed a forensic audit of share buyers and sellers in the brief period of the existence of the Wynyard Group?*
- 2. If not, why not?*

We have searched our records and can confirm the following in response to your request.

The FMA has not completed a forensic audit of share buyers and sellers of Wynyard Group Limited (Wynyard). We are not aware of any other agency carrying out such an audit. Audit of the share registers is required for all issuers of equity securities under Regulations, but this does not need to be reported to the FMA.

The FMA did carry out an investigation into Wynard, see the media release [here](#) and a copy of the investigation report [here](#).

Our concerns in relation to Wynyard were about compliance with their continuous disclosure obligations. When considering these sorts of matters, and in the absence of any other concerns, it is not generally necessary to consider who the shareholders are at any point in time.

You have the right to seek an investigation and review by the Ombudsman of this decision. Information about how to make a complaint is available at www.ombudsman.parliament.nz or freephone 0800 802 602.

Yours sincerely



Ariarna Hakaraia
Manager, Internal Governance