

From: christiner.chan@fma.govt.nz
To: [REDACTED]
Subject: Re: Official Information Request Regarding Sterling House Trust (SHT)
Date: Thursday, 14 August 2025 2:32:36 pm

Kia Ora [REDACTED]

Thank you for contacting us. We have accessed your request for information under the Official Information Act 1982 and have decided to grant your request.

Please see our response below:

1. Sterling House Trust (SHT) is not registered on the Financial Service Providers Register ([FSPR](#)) or regulated as a financial service provider in NZ.

As a regulator in New Zealand, we do not provide security or confirmation of legitimacy for any entity. For information about FMA and who we regulate, please go to our [website](#).

Furthermore, We recommend that before you engage with any entity claiming to operate in NZ that you check that they are regulated as a financial service provider in NZ by searching the FSPR, <https://www.companiesoffice.govt.nz/fsp>, to find whether the financial service provider is registered, the types of financial services they are registered to provide, and their business address. You can also use the FSPR to check whether a business or person belongs to a dispute resolution scheme [DRS] and our website for a list of licensed providers that we regulate.

What is the FSPR?

The FSPR is a register that allows the public to check whether a financial service provider is registered, the types of financial services they are registered to provide, and their business address. The public can also use the FSPR to check whether a business or person belongs to a dispute resolution scheme [DRS].

What is the DRS?

Any business providing a financial service to retail clients in New Zealand must belong to a DRS. Customers can use the DRS service (for free) to seek redress when they have a dispute with their financial service provider.

Who does FMA regulate?

We regulate businesses and individuals who do provide financial products and services in New Zealand. All financial service or product providers must meet our licensing conditions.

The FMA is performing under the current New Zealand financial markets legislation. Scammers are often located overseas (even if they claim to be operating from New Zealand) and our current legislation only applies to New Zealand not internationally.

2. The FMA has not conducted any investigations, issued warnings or enforcement actions regarding SHT or its investment programs (e.g., “Series 7” or the “Greenback Program”). However, we have received 3 queries about SHT in 2020, 2022 and 2025. In response to these queries, we offered the same response as above.
3. We do not have any correspondence or interaction with SHT or its representatives.
4. We did not find any information in our system in relation to the address: *31/335 Lincoln Road, Addington, Christchurch, New Zealand.*

I hope this information has been helpful to you and answers your questions.

You have the right to complain to the Ombudsman regarding our assessment of your OIA requests, in accordance with section 28 of the OIA. Information about how to make a complaint is available at www.ombudsman.parliament.nz or phone 0800 802 602.

If you have any questions, please contact me in the first instance.

Kind regards

Christiner Chan

From: [REDACTED]

Sent:

To: questions@fma.govt.nz; questions@fma.govt.nz; questions@fma.govt.nz

Subject: Re: Official Information Request Regarding Sterling House Trust (SHT)

Dear FMA Information Officer,

[REDACTED]

In accordance with the Official Information Act 1982, I hereby request any information held by the Financial Markets Authority (FMA) regarding Sterling House Trust or its related entities, including:

- Whether Sterling House Trust (or its affiliated entities) is currently registered with or licensed by the FMA;
- Whether the FMA has conducted any investigations, issued warnings or enforcement actions, or received complaints regarding SHT or its investment programs (e.g., “Series 7” or the “Greenback Program”);
- Whether there has been any correspondence or interaction between the FMA and

Sterling House Trust or its representatives;

- Whether the following address is associated with a registered New Zealand trust company or related entity:

New Zealand (HQ)

31/335 Lincoln Road, Addington, Christchurch, New Zealand

If any part of this request falls under sections 6 or 9 of the OIA and cannot be disclosed, I respectfully ask that the remainder of the information be released to the extent possible. Please respond to this request via email. I would greatly appreciate your assistance in this matter.

Sincerely,

A black rectangular redaction box covering the signature of the sender.