From:	Governance.Team@fma.govt.nz
То:	
Subject:	RE: Official Information request - Your org chart
Date:	Friday, 20 August 2021 12:44:38 PM
Attachments:	FMA Organisational Chart.pdf

Good afternoon

Please see attached our current Organization Chart from our records at this date. We release this to you under the Official Information Act 1982.

Kind Regards Governance Team

From:

Sent: Saturday, 24 July 2021 1:45 AMTo: questions@fma.govt.nz; questions@fma.govt.nz; questions@fma.govt.nzSubject: Official Information request - Your org chart

Dear Financial Markets Authority,

May I kindly ask you if possible, can you please provide your current org chart. If would great if you could include so much information as allow, e.g name, title etc, also, can you please list the position is currently vacant as well.

Yours faithfully,

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This is an Official Information request made via the

Please use this email address for all replies to this request:

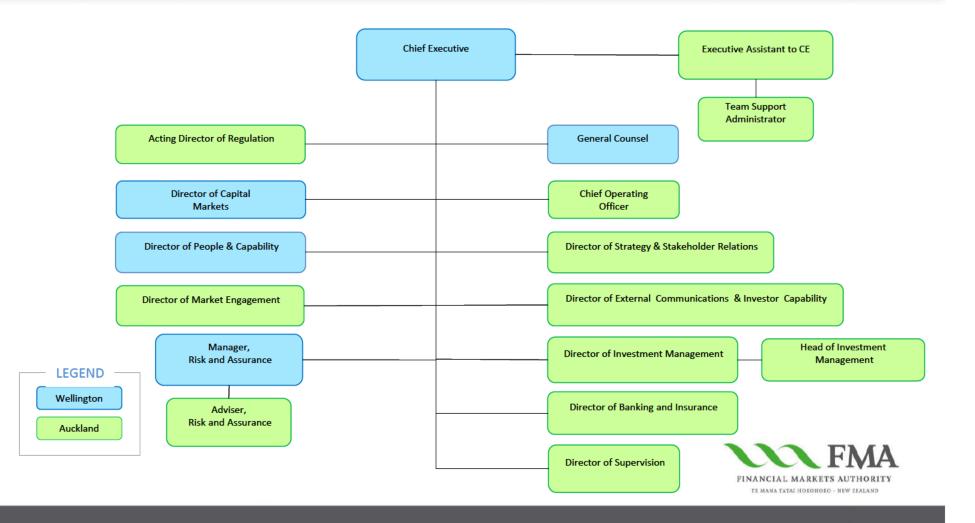
Is <u>questions@fma.govt nz</u> the wrong address for Official Information requests to Financial Markets Authority? If so, please contact us using this form:

Disclaimer: This message and any reply that you make will be published on the internet. Our privacy and copyright policies:

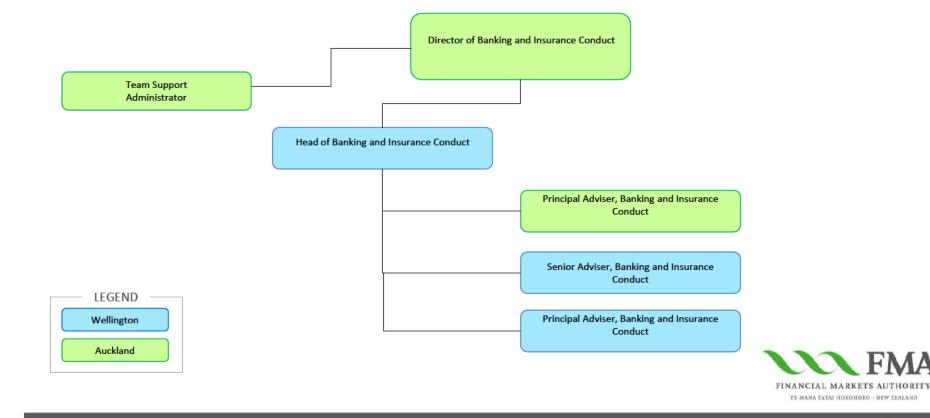
If you find this service useful as an Official Information officer, please ask your web manager to link to us from your organisation's OIA or LGOIMA page.

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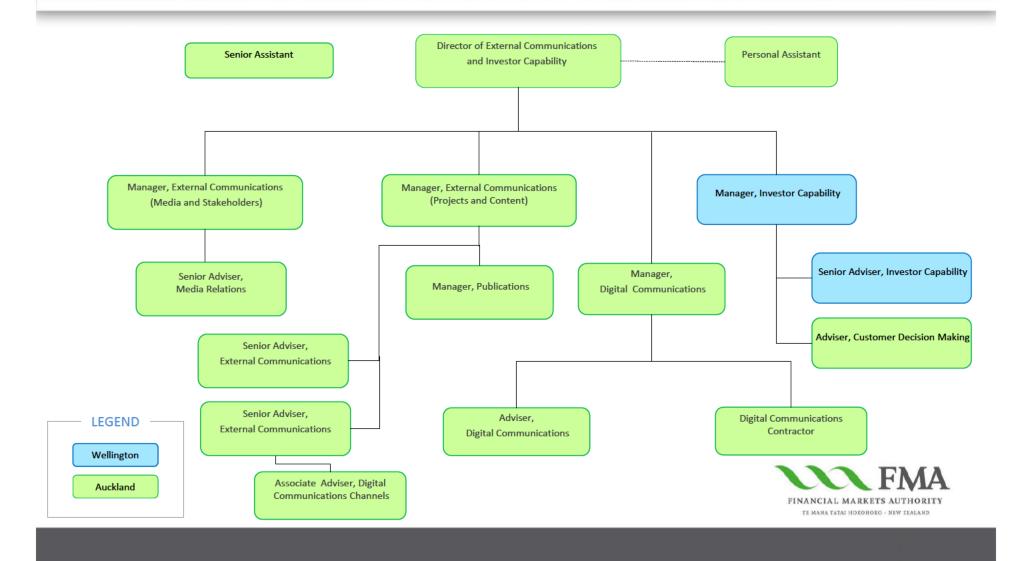
#### **Chief Executive**



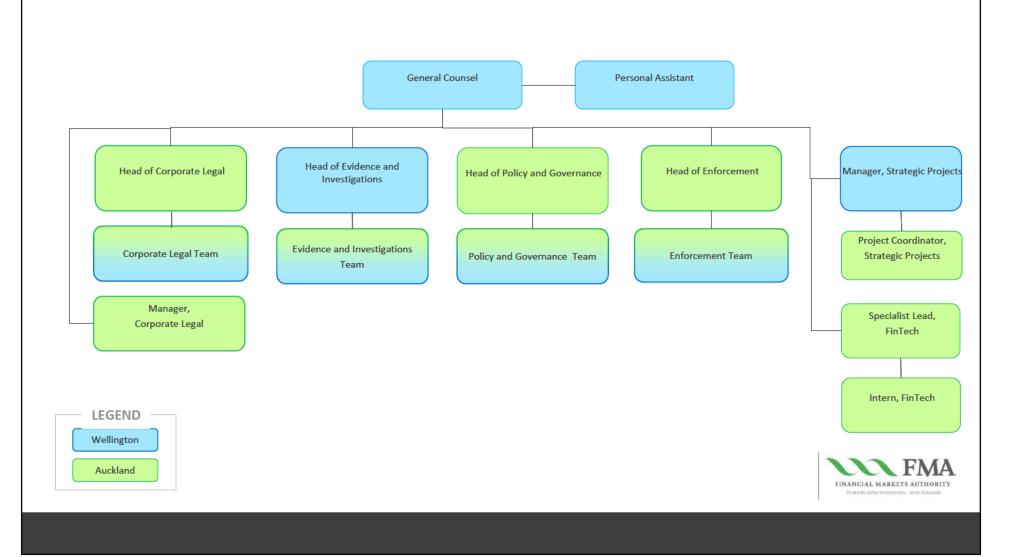
## **Banking and Insurance Conduct**



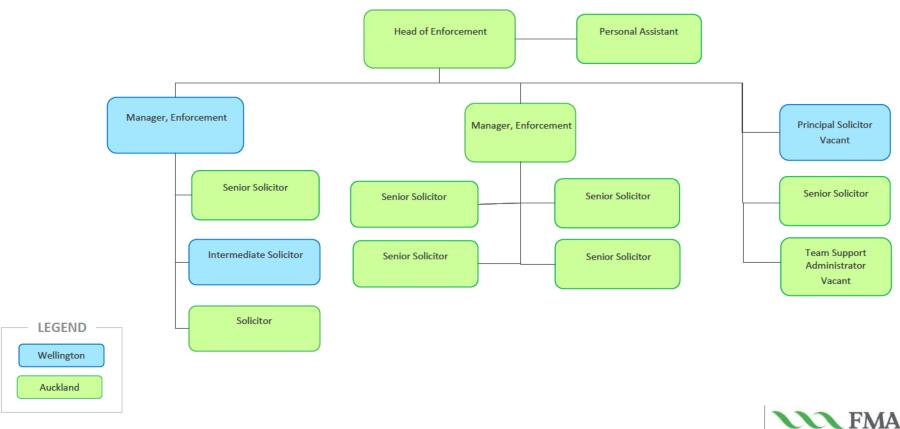
#### External Communications & Investor Capability



### **General Counsel**

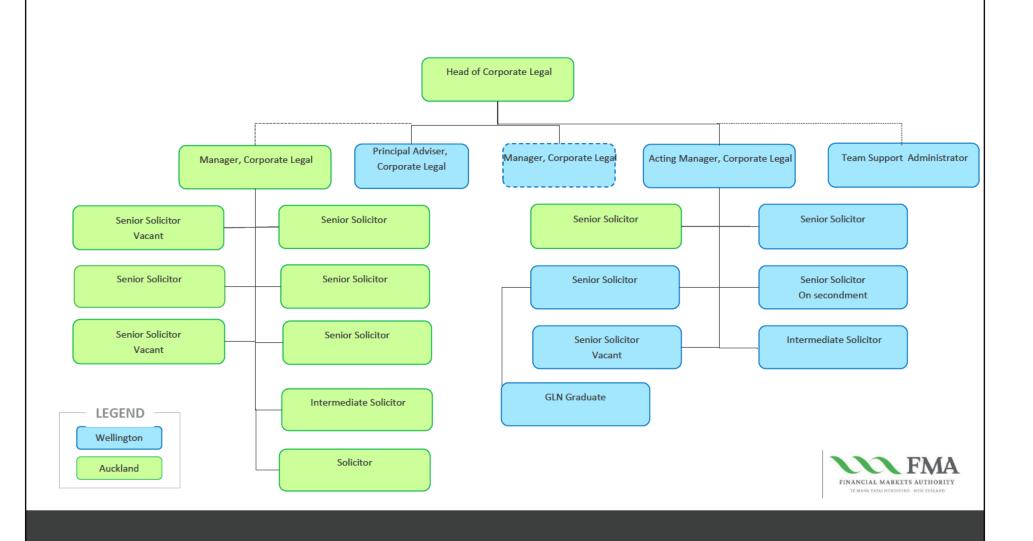


#### General Counsel Enforcement

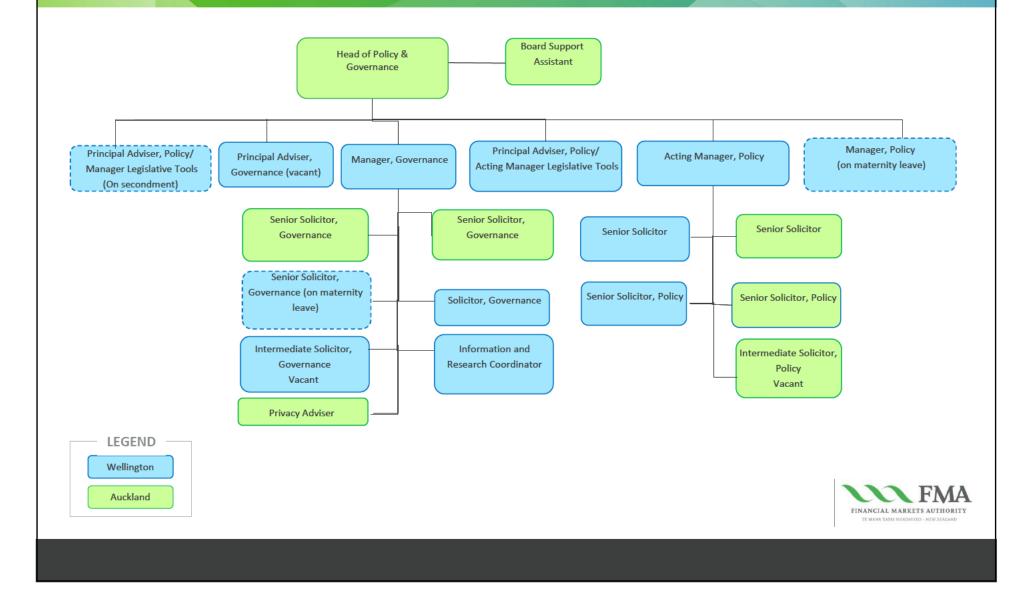


FINANCIAL MARKETS AUTHORITY TE MANA TATAI HOROHORO - NEW ZEALAND

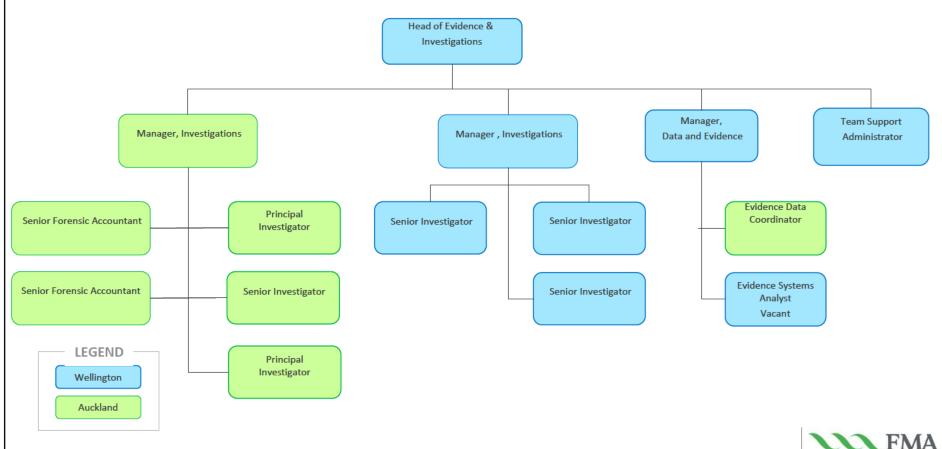
#### General Counsel Corporate Legal



#### General Counsel Policy & Governance



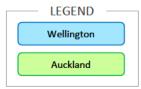
#### General Counsel Evidence & Investigations



FINANCIAL MARKETS AUTHORITY TE MANA TATAI HOKOHOEO - NEW ZEALAND

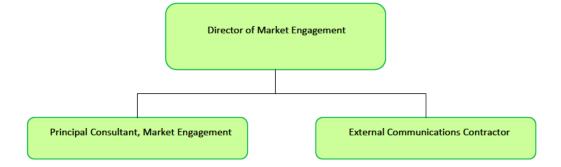
## **Investment Management**

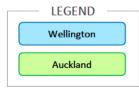






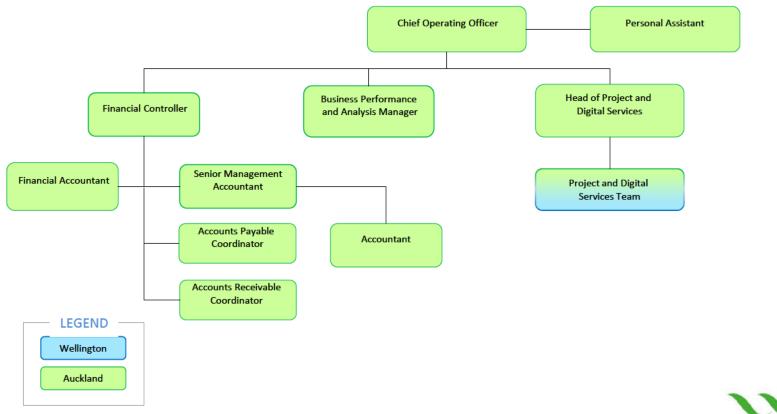
# Market Engagement





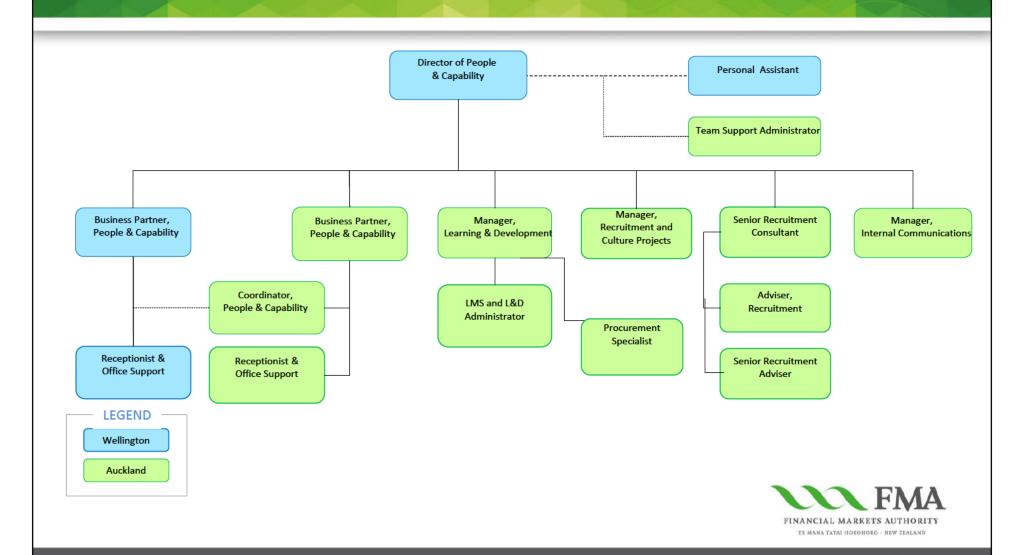


## Operations

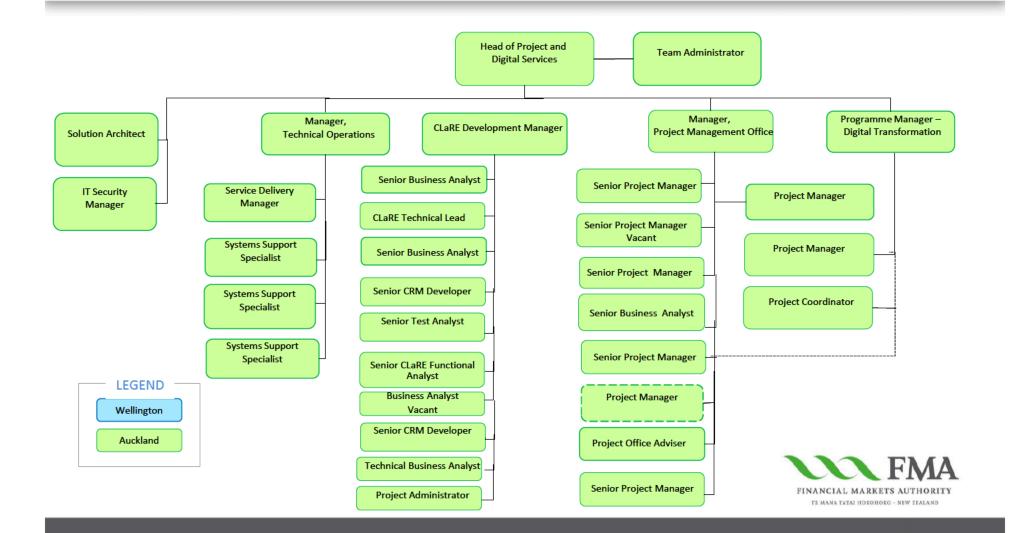




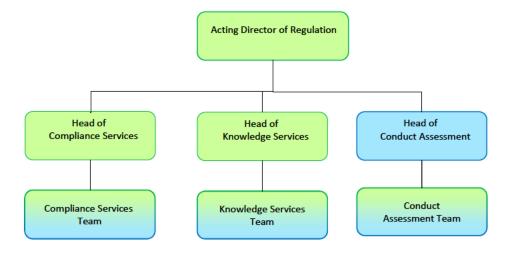
## **People & Capability**

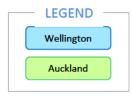


## **Project and Digital Services**



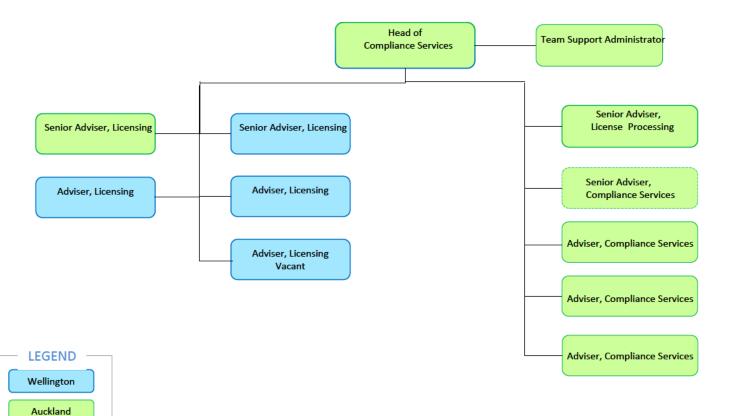






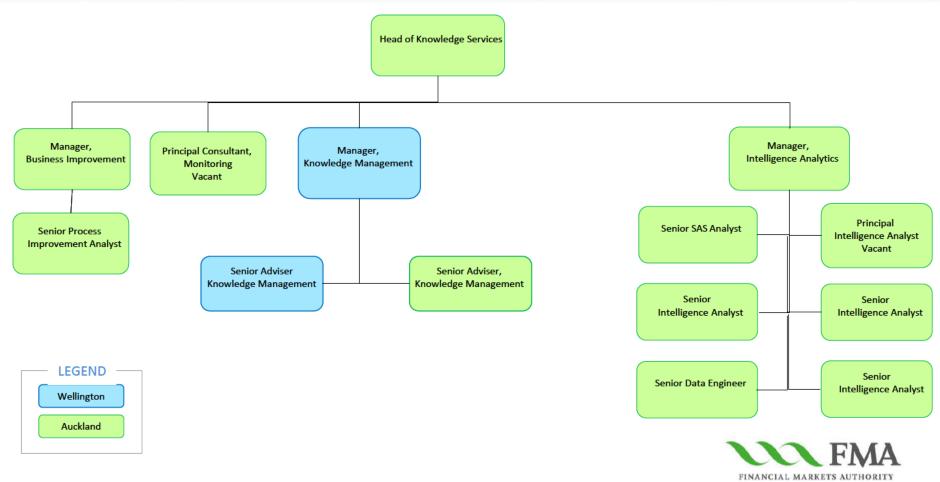


## **Regulation - Compliance Services Team**



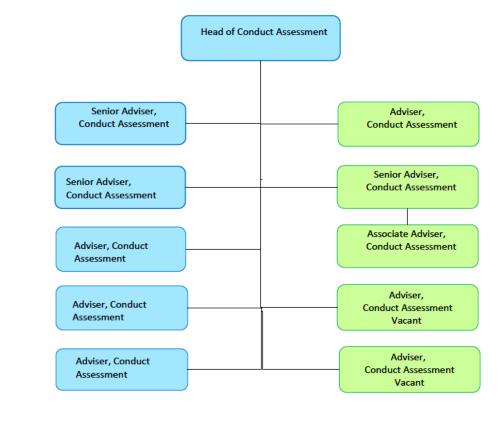


## **Regulation – Knowledge Services Team**



TE MANA TATAI HOKOHOKO - NEW ZEALAND

## **Regulation - Conduct Assessment Team**

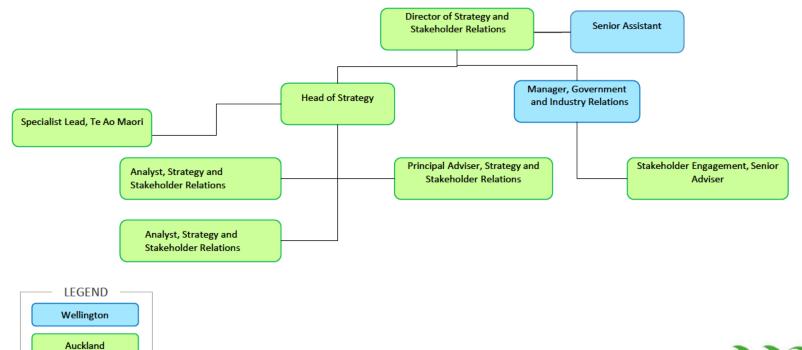


LEGEND Wellington

Auckland

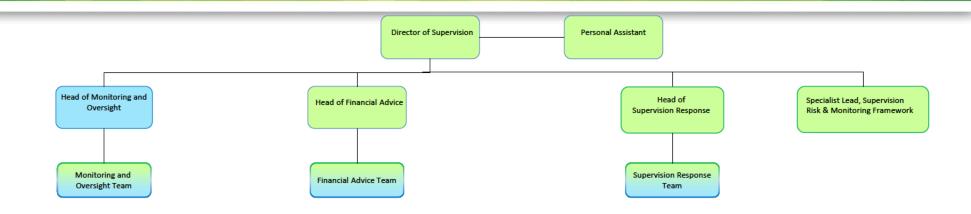


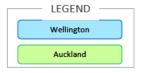
## **Strategy & Stakeholder Relations**





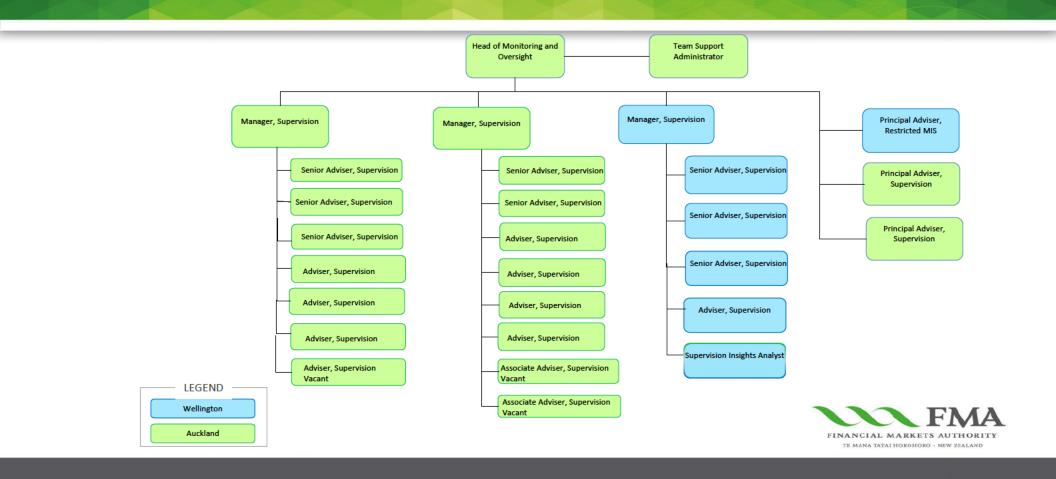
#### **Supervision Team**



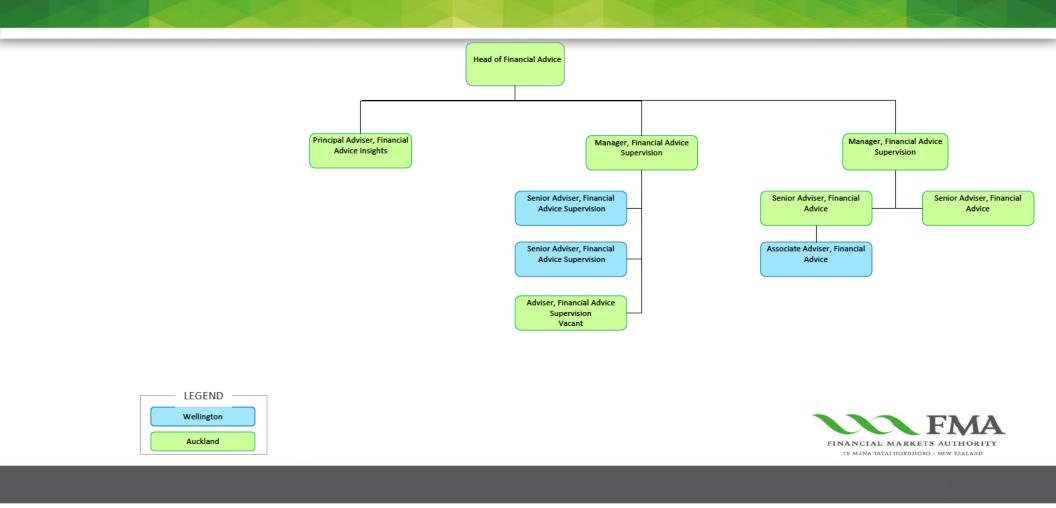




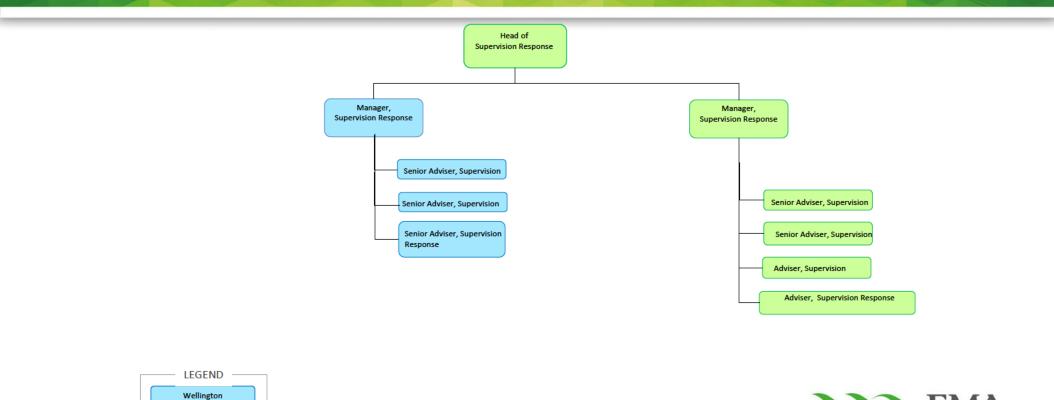
#### Supervision – Monitoring and Oversight Team



### Supervision – Financial Advice Team



#### Supervision – Supervision Response Team



Auckland

