

From: Kristen Ross
Sent: Wednesday, 19 February 2025 4:33 pm
To: [REDACTED]
Cc: Liam Mason <Liam.Mason@fma.govt.nz>
Subject: RE: OIA request

Hi [REDACTED]

I refer to your Official Information Act (OIA) request dated 21 January 2025 for a copy of the latest board member register of interests.

The Register of current interests is attached. However, we have decided to refuse your request for information to the extent that this relates to details of professional and consultancy services to clients and client names, and financial interests (which have also been disclosed to the Board under the Crown Entities Act) under section 9(2)(a) of the OIA to protect the privacy of natural persons.

In addition to the register, which is updated monthly, additional conflict checks are undertaken when divisions are formed or other decisions need to be taken relating to any matters before the FMA.

You have the right to seek an investigation and review by the Ombudsman of this decision. Information about how to make a complaint is available at www.ombudsman.parliament.nz or freephone 0800 802 602.

If you wish to discuss this decision with us, please contact Liam Mason or me.

Ngā mihi,

Kristen

From: [REDACTED]
Sent: Tuesday, 21 January 2025 1:39 pm
To: Media FMA <media.fma@fma.govt.nz>
Subject: OIA request

Hi,

Please consider this arequest for information under the OIA.

With reference to the FMA's board conflict policy that "The board maintains a register of all interests declared by its members and will review the register at the commencement of each board meeting" – could you please supply a copy of the latest register of interests.

Many thanks for your help.

Kind regards,

[REDACTED]

Confidential

Interests and Disclosures – partial release – professional and consultancy services to clients and client names, and financial interests withheld - February 2025

CRAIG STOBO

	List of Interests	
	Governance and related roles	Description of Engagement
1.	NZ Local Government Funding Agency	Chair
2.	NZ Windfarms Ltd	Chair
3.	Appello Services Ltd	Director
4.	Biomarine Ltd	Director
5.	Legend Terrace Ltd	Director
6.	AMP Financial Services	Adviser to Investment Committee
7.	Capital Solutions Cressida Capital	Adviser to Board
8.	Stobo Group	Director
9.	Stobo Family Trust	Director/ Trustee
10.	Saturn Group of Companies (Saturn Advice, Saturn Invest, National Capital)	Chair of Investment Committee
11.	Sahara Ltd	Director
12.	Desert Holdings Ltd	Director
13.	Auckland Future Fund	Director
14.	Independent Mortgage Company	Director
15.	Maverick Financial Technology Ltd	Director
16.	Department of Conservation	Adviser to Director-General

CHRIS SWASBROOK

	List of Interests	
	Governance and related roles	Description of Engagement
1.	Auckland Future Fund Trustee Limited (AFF)	Chair
2.	Elevation Capital Funds Management Limited (FSP# 1007386) – MIS Licensed Manager of Elevation Capital Global Shares Fund	Managing Director
3.	Elevation Capital Global Shares Fund	Portfolio Manager
4.	Elevation Capital Limited – (FSP# 9601)	Director
5.	EC Management Limited (inactive)	Director
6.	Swasbrook Securities Limited	Director
7.	McCashin's Brewery Limited	Executive Chairman
8.	Holland Family Stonebridge Holdings Limited	Director
9.	New Zealand Rural Land Company Limited – NZX Listed	Director
10.	NZRLC Dairy Holdings Limited	Director
11.	SSP NI Limited	Director
12.	Bethunes Investments Limited (in wind-up)	Director

KENDALL FLUTEY

	List of Interests	
	Governance and related roles	Description of Engagement
1.	Banqer Limited	Employee
2.	Insurance and Financial Services Ombudsman Scheme	Commissioner
3.	Booster Foundation	Trustee
4.	Advisory Board to the Retirement Commission Te Ara Ahunga Ora	Advisory Board Member
5.	Whai Rawa	Board Member

PRASANNA GAI

	List of Interests	
	Governance and related roles	Description of Engagement
1.	University of Auckland	Professor of Macroeconomics, Head of the Department of Economics, Accounting & Finance, and Property
2.	Deutsche Bundesbank, Frankfurt	Senior Research Fellow
3.	National Institute of Economic & Social Research (NIESR), London	Fellow
4.	Reserve Bank of New Zealand	Monetary Policy Committee 2024-2028

SUZANNE CHETWIN

	List of Interests	
	Governance and related roles	Description of Engagement
1.	Food Standards Australia New Zealand	Board member
2.	Chetwin Media Ltd	Director
3.	Fletcher Foundation	Chair
4.	Grocery Action Group	Chair
5.	Licensed Building Practitioners Board	Director

STEVEN BARDY

	List of Interests	
	Governance and related roles	Description of Engagement
	Nil	
	Professional advisory clients	
	Details withheld	

Tracey Berry

	List of Interests	
	Governance and related roles	Description of Engagement
1.	National Provident Fund	Board Member
2.	Mosaic FSI Limited	Partner (not owner)
	Professional advisory clients	
	Details withheld	

Nicholas Hegan

C.	List of Interests	
	Governance Roles	Description of Engagement
1.	Random Walk Investments Ltd (trustee of family trust)	Director
2.	Athletics Wellington	Board member
	Professional advisory clients	
	Details withheld	

Mariette van Ryn

	List of Interests	
	Governance and related roles	Description of Engagement
1.	Spectrum Foundation	Board member/ Trustee
2.	Insurance & Financial Ombudsman Scheme	Consultant – Incorporation Project (Independent Contractor)