

Notice: NZX Participant Rules

Pursuant to section 332(1) of the Financial Markets Conduct Act 2013, the Financial Markets Authority gives the following notice.

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Notice

1 Title

This notice is the “NZX Participant Rules 2017”.

2 Commencement

This notice comes into force on 25 August 2017.

3 Interpretation

(1) In this notice, unless the context otherwise requires, —

Act means the Financial Markets Conduct Act 2013;

Main Board or **NZSX** means the main board equity security market operated by NZX;

NZX means NZX Limited;

NZX Derivatives Market means the derivatives board security market operated by NZX;



- (2) Any term or expression that is defined in the Act and used, but not defined, in this notice has the same meaning as in the Act.

4 Approval of Rules and Procedures

- (1) The NZX Participant Rules 2017 are approved as Participant Rules for the Main Board operated by NZX;

Dated at Wellington this 25 day of August 2017.



Garth Stanish
Director of Capital Markets
Financial Markets Authority

Explanatory Note

This note is not part of the notice, but is intended to indicate its general effect.

This notice comes into force on 25 August 2017. The notice allows for NZX to replace the following sets of rules with the corresponding amended rules with effect from 1 December 2017 or as otherwise determined by NZX:

- (1) The NZX Participant Rules dated 1 December 2015.