

Financial Markets Conduct (NZX Listing Rules) Approval of Rule Change Notice 3/2024

Pursuant to section 332(1) of the Financial Markets Conduct Act 2013, the Financial Markets Authority gives the following Notice.

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Notice

1 Title

This notice is the Financial Markets Conduct (NZX Listing Rules) Approval of Rule Change Notice 3/2024.

2 Commencement

This notice comes into force on 3 April 2024.

3 Interpretation

(1) In this notice, unless the context otherwise requires, —

Act means the Financial Markets Conduct Act 2013

FMA means the Financial Markets Authority

Rules means the NZX Listing Rules

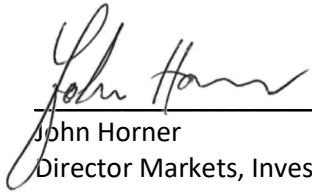
NZX means NZX Limited

(2) Any term or expression that is defined in the Act and used, but not defined, in this notice has the same meaning as in the Act.

4 Approval of market rules

Pursuant to section 331 of the Act, the FMA approves amendments to the Rules set out in the application for approval provided by NZX to the FMA dated 16 February 2024.

Dated at Wellington this 2 day of April 2024.



John Horner

John Horner
Director Markets, Investors and Reporting
Financial Markets Authority

Explanatory Note

This note is not part of the notice but is intended to indicate its general effect.

This notice approves amendments to the Rules to:

- Introduce the requirement for Issuers of Equity, Debt and Fund Securities to appoint a Primary and Secondary Authorised Representative, and provide contact details to NZX, to act as a designated point of contact for NZX and NZ RegCo to engage with an Issuer on operational and regulatory matters related to the Rules including time critical communications
- Introduce a requirement for the Primary Authorised Representative for Equity Issuers to undertake training on the role and rule obligations, within six months of appointment and at intervals thereafter
- Provide clarity on where and how Issuers, that are required to produce and publish climate statements, can publish and disclose such information

The amended Rules will become effective on a date as determined by NZX in accordance with the Rules.