

Financial Markets Conduct Act 2013 (NZX Participant Rules) Approval of Rule Change Notice 2018 - Off-Market Trading

Pursuant to section 332(1) of the Financial Markets Conduct Act 2013, the Financial Markets Authority gives the following notice.

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Notice

1 Title

This notice is the “Financial Markets Conduct Act 2013 (NZX Participant Rules) Approval of Rule Change Notice 2018 - Off-market Trading”.

2 Commencement

This notice comes into force on 6 September 2018.

3 Interpretation

(1) In this notice, unless the context otherwise requires, —

Act means the Financial Markets Conduct Act 2013;

Main Board or **NZSX** means the main board equity security market operated by NZX;

NZX means NZX Limited;

NZX Participant Rules means the Participant Rules applicable to the securities market operated by NZX;


NZX Participant Procedures means the Procedures for the NZX Participant Rules.

- (2) Any term or expression that is defined in the Act and used, but not defined, in this notice has the same meaning as in the Act.

4 Approval of Rules and Procedures

- (1) Pursuant to section 331 of the Act, the Financial Markets Authority approves changes to the NZX Participant Rules and Procedures provided by NZX to the Financial Markets Authority on 3 August 2018.

Dated at Wellington this 6th day of September 2018.



Garth Stanish
Director of Capital Markets
Financial Markets Authority

Explanatory Note

This note is not part of the notice, but is intended to indicate its general effect.

This notice comes into force on 6 September 2018. The notice allows for NZX to replace the following sets of rules and procedures with effect from a date to be determined by NZX in accordance with the requirements of section 1.4 of the NZX Participant Rules:

- (1) The NZX Participant Rules dated 1 December 2017; and
- (2) The NZX Participant Procedures dated 1 December 2017.