

**Application for variation of AFA
authorisation.**

**I apply to the Financial Markets Authority (FMA) for a variation of my
authorisation.**

First Name:	
Middle name(s):	
Last Name:	
FSP number:	
FAS scope I am currently authorised to provide:	
Date of authorisation:	
Expiry of authorisation:	
Preferred daytime contact phone number:	

Variation of Authorisation

Please select the type of variation to your authorisation you wish to be determined by the FMA. Please complete the free text box with the particulars of the variation you are requesting and the reasons in support of your application.

Type of Variation

Reasons for variation request

Add condition

Remove condition

Vary terms of conditions

Vary period of authorisation

Other

Documents

If you wish to submit any documents in support of your application, please list the supporting documents in the text boxes below.

Document name

I confirm I have attached the supporting document

Yes

No

Yes

No

Yes

No

Yes

No

Fee details

Application fee

The application fee payable is the total of:

1. \$115.00 (inclusive of GST); plus
2. \$178.25 (inclusive of GST) for every hour, or part-hour pro rata, of work carried out by a member or employee of the FMA in considering the application.

The amount of \$115.00 is payable when submitting this application.

In addition to the amount of \$115.00, I understand that I am liable to pay the balance of the application fee being the amount calculated at the rate of \$178.25 (incl. GST) for every hour, or part-hour pro rata, of work carried out by a member or employee of the FMA in considering the application.

I agree to pay the balance of the application fee calculated above on receipt of the invoice from the FMA for that amount.

Method of payment

The fee may be paid by Cheque or Bank Transfer.

1. Cheque

If you wish to pay the application fee by cheque, please forward a cheque for **\$115.00** payable to the Financial Markets Authority. The cheque should be posted to the address below with your name and FSP number clearly written on the back of the cheque.

AFA Licensing team
FMA
PO Box 1179
Wellington 6140

1. Bank transfer

If you wish to pay the \$115.00 amount by bank transfer, please insert the details below when making the bank transfer.

Your Details

Amount

FMA Account Number (Westpac)

Surname, First Name and FSP number

\$115.00

03 0584 0198005 00

Payment Details

I have paid the application fee of \$115.00 (incl. GST) by either:

- a. Cheque I have posted a cheque on / ... / 20..
- b. Bank transfer I have paid the fee of \$115.00 to the FMA's bank account on /

Declaration

I _____
Full name

of _____
Town/city

declare that all the information provided by me in this application to the FMA is true and correct to the best of my knowledge.

Signature:

Dated: _____ day of _____ 20_____

Please print, sign, date and send the completed application via email as a pdf document to:

AFAapplications@fma.govt.nz

If you have any questions please contact afaapplications@fma.govt.nz